

Image

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15.31/5631



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:)	Group Art Unit: 2811
TSUGANE et al.)	
Serial No. 09/759,715)	Examiner: Magee, Thomas J.
Filed: January 13, 2001)	
For: SEMICONDUCTOR DEVICES AND METHODS)	
FOR MANUFACTURING THE SAME)	

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A. Non-English Language References

- ☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:
- ☒ The Notice of Reasons of Rejection for Japanese Patent Application 2000-005042 (from which priority is claimed for US 09/759,665) dated June 17, 2003 cites the following Japanese patent references: 09-260607, 11-340433, 09-116113, 10-083867 and 2000-196037, and an English language abstract and computer generated translation (the accuracy of which is not certified) have been submitted for these references.

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- B. ☐ The information disclosure statement submitted herewith is being filed
- 1) within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);
 - 2) within three months of the date of entry into the national stage as set forth in 1.491 in an international application;
 - 3) before the mailing date of a first Office Action on the merits;
 - 4) before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114; or
 - 5) before the mailing date of a first Office Action after filing of a Continued Prosecution Application.
- C. ☒ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph B, but before the mailing date of a final action under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:
- 1) a Statement under 1.97(e); or
 - 2) a fee of \$180 as set forth in 1.17(p).
- D. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:
- 1) a Statement under 1.97(e); and
 - 2) a fee of \$180 as set forth in 1.17(p).

STATEMENT UNDER 1.97(e)

I, the person signing below, certify

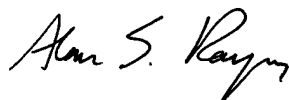
- ☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart

foreign application not more than three months prior to the filing of the statement.
37 C.F.R. 1.97(e)(1).

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(2).

- **Please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585.** A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,



Alan S. Raynes
Reg. No. 39,809

January 31, 2004
Date

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Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail on Jan. 31, 2004 and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Alan S. Raynes

Jan. 31, 2004
(Date)

FORM PTO-1449
IREV. 7.801U.S. Department of Commerce
PATENT AND TRADEMARK OFFICEAttorney Docket No.
15.31/5631Serial No.
09/759,715**INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

Applicant:
TSUGANE et al.Filing Date
1/13/01Group
2811**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Sub-Class	Filing Date if Appropriate

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Sub-Class	Translation	
						Yes	No
	09- 2 6 0 6 0 7	03Oct97	Japan			abst. + computer	
	11- 3 4 0 4 3 3	10Dec99	Japan			abst. + computer	
	09- 1 1 6 1 1 3	2May97	Japan			abst. + computer	
	10- 0 8 3 0 6 7	31Mar98	Japan			abst. + computer	
	2000- 1 9 6 0 3 7	14Jul00	Japan			abst. + computer	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Notice of Reasons of Rejection for Japanese Patent Application No. 2000-005042 (priority for 09/759,665) dated June 17, 2003 (which lists 09-260607, 11-340433, 09-116113, 10-083867 and 2000-196037 cited above).
Examiner	Date Considered

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.